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UTILITY
PATENT APPLICATION
TRANSMITTAL

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Attorney Docket No.

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First Inventor or Application Identifier

Robert W. Faber

Title

METHOD AND APPARATUS FOR PROTECTING COPY CONTROL

Express Mail Label No.

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

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1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 26]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 4]
- a. ☐ Newly executed (original copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
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ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
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☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/385,590 & 09/385,592

Prior application Information: Examiner

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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| Variable | Mean | SD | Min | Max |
|---------------------|------|------|-----|-----|
| Age | 34.2 | 10.5 | 20 | 55 |
| Gender | | | | |
| Male | 58.5 | 5.2 | 45 | 75 |
| Female | 41.5 | 5.2 | 25 | 65 |
| Marital status | | | | |
| Married | 65.2 | 6.1 | 50 | 80 |
| Single | 34.8 | 6.1 | 20 | 50 |
| Education | | | | |
| High school | 15.2 | 2.1 | 10 | 20 |
| College | 35.8 | 3.2 | 25 | 45 |
| Postgraduate | 49.0 | 3.2 | 35 | 60 |
| Income | | | | |
| Low | 12.5 | 3.5 | 5 | 20 |
| Medium | 45.2 | 4.5 | 30 | 60 |
| High | 42.3 | 4.5 | 25 | 55 |
| Occupation | | | | |
| Manager | 25.2 | 3.2 | 15 | 35 |
| Professional | 35.8 | 3.2 | 25 | 45 |
| Service | 39.0 | 3.2 | 25 | 50 |
| Unemployed | 10.0 | 2.1 | 5 | 15 |
| Health status | | | | |
| Good | 65.2 | 6.1 | 50 | 80 |
| Fair | 34.8 | 6.1 | 20 | 50 |
| Poor | 0.0 | 0.0 | 0 | 0 |
| Smoking status | | | | |
| Smoker | 35.2 | 3.2 | 25 | 45 |
| Nonsmoker | 64.8 | 3.2 | 50 | 75 |
| Alcohol consumption | | | | |
| Frequent | 15.2 | 2.1 | 10 | 20 |
| Occasional | 35.8 | 3.2 | 25 | 45 |
| Never | 49.0 | 3.2 | 35 | 60 |
| Exercise frequency | | | | |
| Daily | 25.2 | 3.2 | 15 | 35 |
| Weekly | 35.8 | 3.2 | 25 | 45 |
| Monthly | 39.0 | 3.2 | 25 | 50 |
| Never | 10.0 | 2.1 | 5 | 15 |

FOR

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**Method And Apparatus For Protecting Copy Control Information Provided To
A Video Recording Device**

Related Application

5 This application is a continuation-in-part application to U.S. Patent Applications number 09/385,590 and 09/385,592, both entitled Digital Video Content Transmission Ciphering and Deciphering Method and Apparatus, filed on August 29, 1999.

10 **BACKGROUND OF THE INVENTION**

1. **Field of the Invention**

 The present invention relates to the field of content protection. More specifically, the present invention addresses the generation of pseudo random
15 numbers for use in a symmetric ciphering/deciphering process based authentication process for authenticating video receiving devices.

2. **Background Information**

 In general, entertainment, education, art, and so forth (hereinafter collectively
20 referred to as "content") packaged in digital form offer higher audio and video quality than their analog counterparts. However, content producers, especially those in the entertainment industry, are still reluctant in totally embracing the digital form. The primary reason being digital contents are particularly vulnerable to pirating. As
unlike the analog form, where some amount of quality degradation generally occurs
25 with each copying, a pirated copy of digital content is virtually as good as the "gold master". As a result, much effort have been spent by the industry in developing and

adopting techniques to provide protection to the distribution and rendering of digital content.

Historically, the communication interface between a video source device (such as a personal computer) and a video sink device (such as a monitor) is an analog interface. Thus, very little focus has been given to providing protection for the transmission between the source and sink devices. With advances in integrated circuit and other related technologies, a new type of digital interface between video source and sink devices is emerging. The availability of this type of new digital interface presents yet another new challenge to protecting digital video content.

While in general, there is a large body of cipher technology known, the operating characteristics such as the volume of the data, its streaming nature, the bit rate and so forth, as well as the location of intelligence, typically in the source device and not the sink device, present a unique set of challenges, requiring a new and novel solution. Parent applications number 09/385,590 and 09/385,592 disclosed various protocol and cipher/deciphering techniques to authenticate a video sink device and protect transmission to the video sink device. Pseudo random numbers are employed as seed or basis numbers for the ciphering/deciphering process.

As technology advances, it is desired to selectively allow certain video sink devices to make authorized copies of the protected video. According, a method and apparatus to protect the provision of copy control information is desired.

BRIEF DESCRIPTION OF DRAWINGS

The present invention will be described by way of exemplary embodiments, but not limitations, illustrated in the accompanying drawings in which like references
5 denote similar elements, and in which:

Figure 1 illustrates an example video source device and an example video sink device incorporated with the teachings of the present invention, in accordance with one embodiment;

Figure 2 illustrates an overview of the method of the present invention for
10 protecting video provided by video source device to video recording device, in accordance with one embodiment;

Figures 3a-3b illustrate the symmetric ciphering/deciphering process in further detail, in accordance with one embodiment;

Figure 4 illustrates an overview of the method of the present invention for
15 protecting copy control information provided by video source device to video recording device, in accordance with one embodiment;

Figure 5 illustrates an example combined block/stream cipher unit of **Fig. 1** in further detail, in accordance with one embodiment;

Figure 6 illustrates the block key section of **Fig. 4** in further detail, in
20 accordance with one embodiment; and

Figure 7 illustrates the block data section of **Fig. 4** in further detail, in accordance with one embodiment.

DETAILED DESCRIPTION OF THE INVENTION

In the following description, various aspects of the present invention will be described, and various details will be set forth in order to provide a thorough understanding of the present invention. However, it will be apparent to those skilled in the art that the present invention may be practiced with only some or all aspects of the present invention, and the present invention may be practiced without the specific details. In other instances, well known features are omitted or simplified in order not to obscure the present invention.

Various operations will be described as multiple discrete steps performed in turn in a manner that is most helpful in understanding the present invention. However, the order of description should not be construed as to imply that these operations are necessarily performed in the order they are presented, or even order dependent. Lastly, repeated usage of the phrase "in one embodiment" does not necessarily refer to the same embodiment, although it may.

Referring now to **Figure 1**, wherein a block diagram illustrating an example video source device incorporated with the teachings of the present invention, in accordance with one embodiment, is shown. As illustrated, video source device **102**, incorporated with the teachings of the present invention, and video sink or recording device **104** are coupled to each other via digital video link **106**. Video source device **102** includes authentication unit **108** and video hardware interface **110**, sharing cipher unit **112**. While not detailed, video sink/recording device **104** is also similarly constituted with its own authentication unit, hardware interface and cipher unit.

Video source device **102**, using authentication unit **108**, authenticates video sink/recording device **104**. Authentication unit **108** employs an authentication

process that is based on a symmetric ciphering/deciphering process, requiring a seed or basis value to be provided by authentication unit **108**. The seed or basis value is a pseudo random number. Upon authenticating video sink/recording device **104**, video source device **102** generates and provides video content to video sink/recording device **104** through video hardware interface **110**, which ciphers video content before transmission to protect the video content from unauthorized copying. Video hardware interface **110** ciphers the video content using ciphering bits generated by cipher unit **112**. In accordance with the present invention, video source device **102** also provides copy control information to video sink/recording device **104** to specifically authorize and control copying or recording of the video content provided. Further, video source device **102** also protects these copy control information to prevent their tampering. As will be described in more detail below, video source device **102** advantageously protects these copy control information by coupling or tying them to the symmetric ciphering and deciphering process employed to protect the video content. As a result, the copy control information can not be tampered with, as tampering with the copy control information will cause video sink/recording device **104** to be unable to decipher the ciphered video.

The exact nature of these copy control information is application dependent and of no particular relevance to the practice of the present invention. Similarly, the communication interface employed (not shown) as well as the communication protocol employed by video source device **102** to convey the copy control information to video sink/recording device is also of no particular relevance to the practice of the present invention. Any communication link and protocol known in the art may be employed.

Except for the teachings of the present invention incorporated, to be described more fully below, video source device **102** is intended to represent a

broad range of digital devices known in the art, including but not limited to computers of all sizes (from palm size device to desktop device, and beyond), set-up boxes, or DVD players. Examples of video recording devices include but are not limited to computing devices with storage medium, "digital VCR" and the like. As to digital video link 106, it may be implemented in any one of a number of mechanical and electrical forms, as long as they are consistent with the operating requirement (i.e. speed, bit rate and so forth), and a mechanism (which may be in hardware or through protocol) is provided to allow control information to be exchanged between video source and sink/recording devices 102 and 104.

Before proceeding to describe the present invention in further detail, it should be noted that video sink/recording device 104 may also be disposed "behind" a video signal repeater device, repeating signals for the "remotely" disposed video sink/recording device 104, as opposed to being directly coupled to video source device 102 as illustrated.

Figure 2 illustrates an overview of the symmetric ciphering/deciphering process based method for providing video content from a source device to a sink/recording device, in accordance with one embodiment. In this embodiment, source and sink/recording devices 102 and 104 are assumed to have each been provided with an array of private keys and a complementary identifier by a certification authority. As illustrated, upon power on or reset, source device 102 first provides a basis value to the symmetric ciphering/deciphering process to sink/device device 104 (block 202). For the illustrated embodiment, the basis value is a random number (A_n). A_n may be generated in any one of a number of techniques known in the art. Additionally, source device 102 also provides a selected one of its device keys ($A_{k_{sv}}$) to sink/recording device 104 (block 202). In

response, sink/recording device **104** replies with a selected one of its device keys (Bk_{sv}) (block **203**). Upon exchanging the above information, source and sink/recording devices **102** and **104** independently generate their respective copies of an authentication key (K_m) using Ak_{sv} and Bk_{sv} (block **204** and **205**). For the illustrated embodiment, source device **102** generates its copy of K_m by summing private keys of its provided array indexed by Bk_{sv} , while sink/recorder device **104** generates its copy of K_m by summing private keys of its provided array indexed by Ak_{sv} . At this time, if both source and sink devices **102** and **104** are authorized devices, they both possess and share a common secret authentication key K_m .

In one embodiment, each of source and sink/recording devices **102** and **104** is pre-provided with an array of 40 56-bit private keys by the certification authority. A_n is a 64-bit random number, and K_m is 56-bit long. For more information on the above described authentication process, see co-pending U.S. Patent Application, serial number 09/275,722, filed on March 24, 1999, entitled Method and Apparatus for the Generation of Cryptographic Keys, having common inventorship as well as assignee with the present application.

Having authenticated sink/recording device **104**, source device **102** ciphers video content into a ciphered form before transmitting the video content to sink device **104**. Source device **102** ciphers the video content employing a symmetric ciphering/deciphering process, and using the random number (A_n) as well as the independently generated authentication key (K_m) (block **206**). Upon receipt of the video content in ciphered form, sink/recording device **104** decipheres the ciphered video content employing the same symmetric ciphering/deciphering processing, and using the provided A_n as well as its independently generated copy of K_m (block **207**).

In accordance with the present invention, as an integral part of ciphering video content, source device **102** derives a set of verification reference values in a

predetermined manner (block **208**). Likewise, as an integral part of symmetrically deciphering video content sink/reording device **104** also derives a set of verification values in a predetermined manner, and transmits these derived verification values to source device **102** (block **209**). Upon receiving each of these verification values,
 5 source device **102** compares the received verification value to the corresponding one of the verification reference values to determine and confirm that indeed the ciphered video content is being properly deciphered by sink/recording device **104** (block **210**).

For the illustrated embodiment, both source and sink/recording devices **102**
 10 and **104** generate the verification reference and verification values continuously, but the verification values are provided from sink/recording device **104** to source device **102** periodically at predetermined intervals.

In one embodiment, the verification reference and verification values are all 64-bits in length, and sink/recording device **104** provides source device **102** with
 15 verification values at initialization and every 64th frames thereafter.

Figures 3a-3b illustrate the symmetric ciphering/deciphering process in further detail, in accordance with one embodiment. In this embodiment, the video content is assumed to be a multi-frame video content with each frame having
 20 multiple lines of video content. In between two lines of a frame is an interval to allow a sink device to horizontally “retrace” itself, commonly known as the horizontal retrace interval or horizontal blanking interval (HBI). Likewise, in between two frames is an interval to allow a sink device to vertically “retrace” itself, commonly known as the vertical retrace interval or vertical blanking interval (VBI).

25 Source device **102** first generates a session key (K_s) for the transmission session (block **302**). For the illustrated embodiment, K_s is generated by block

ciphering the above mentioned random number A_n using the authentication key K_m as the block cipher key and applying C1 clocks. The duration of a transmission session is application dependent. Typically, it corresponds to a natural demarcation of the video content, e.g. the transmission of a single movie may constitute a transmission session, or the transmission of an episode of a sitcom may constitute a transmission session instead.

Upon generating the session key K_s , source device **102** generates an initial version of a second random number (M_0) (block **304**). For the illustrated embodiment, source device **102** first generates a pseudo random bit sequence (at p-bit per clock) using a stream cipher with the above described random number A_n and the session key K_s (in two roles, as another input random number and as the stream cipher key), applying C2 clocks. Source device **102** derives M_0 from the pseudo random bit sequence, as the bit sequence is generated.

Next, source device **102** generates a frame key (K_i) for the next frame (block **306**). For the illustrated embodiment, K_i is generated by block ciphering an immediately preceding version of the second random number M_{i-1} using the session key K_s as the block cipher key, and applying C3 clocks. That is, for the first frame, frame-1, frame key K_1 is generated by block ciphering the above described initial version of the second random number M_0 , using K_s , and applying C3 clocks.

Additionally, this operation is subsequently repeated at each vertical blanking interval for the then next frame, frame-2, frame-3, and so forth.

Upon generating the frame key K_i , source device **102** generates the current version of the second random number (M_i) (block **302**). For the illustrated embodiment, source device **102** first generates a pseudo random bit sequence (at p-bit per clock) using a stream cipher with the previous version of the second random number M_{i-1} and the frame key K_i (in two roles, as another input random

number and as the stream cipher key), applying C4 clocks. Source device **102** derives M_i from the pseudo random bit sequence, as the bit sequence is generated.

Upon generating the current version of the second random number M_i , source device **102** again generates a pseudo random bit sequence (at p-bit per clock) to cipher the frame (block **308**). For the illustrated embodiment, source device **102** generates the pseudo random bit sequence using a stream cipher with an immediately preceding version of the second random number M_{i-1} and frame key K_i (in two roles, as another input random number and the stream cipher key), applying C5 clock cycles. The video content is ciphered by perform an exclusive-OR (XOR) operation on the video stream and the pseudo random bit sequence. The pseudo random bit sequence is generated preferably at a rate sufficient to cipher a pixel of RGB signal per clock. Therefore, C5 is equal to the number of bits per pixel multiply by the number of pixels per line, as well as the number of lines per frame.

For the illustrated embodiment, a stream cipher that successively transforms M_i and K_i in the course of generating the pseudo random bit sequence is employed. Additionally, the robustness of the ciphered video content is further strengthened by increasing the unpredictability of the pseudo random bit sequence through successive modification of then current states of K_i at the horizontal blanking intervals of the frame (block **310**).

Over in sink device **104**, in like manner, it first generates a session key (K_s) for the transmission session (block **312**). Upon generating the session key K_s , sink device **104** generates an initial version of the second random number (M_0) (block **314**). Next, sink device **104** generates the frame key (K_i) and second random number (M_i) for the next frame (block **316**). This operation is likewise subsequently repeated at each vertical blanking interval for the then next frame. In the meantime,

after generation of each frame key K_i and M_i , sink device **104** generates a corresponding pseudo random bit sequence to decipher the frame (block **318**). The ciphered video content is deciphered by performing an exclusive-OR (XOR) operation on the video stream and the corresponding pseudo random bit sequence.

Sink device **104** also employs a stream cipher that successively transforms M_i and K_i in the course of generating the pseudo random bit sequence. Furthermore, K_i is successively modified at the horizontal blanking intervals of the frame (block **320**). K_i , the pseudo random bit sequence, and M_i are symmetrically generated as earlier described for source device **102**.

In one embodiment, K_s and each K_i are both 84-bit in length. $C1$ and $C3$ are both 48 clocks in length. Each pixel is 24-bit, and the pseudo random bit sequence is generated at 24-bit per clock. Each M_i is 64-bit in length, $C3$ and $C4$ are 56 clocks in length. Each 64-bit M_i is formed by concatenating the "lower" 16-bit stream cipher output of each of the last four clocks.

Accordingly, video content may be advantageously transmitted in ciphered form with increased robustness from source device **102** to sink/recording device **104** through link **106** with reduced pirating risk.

Figure 4 illustrates an overview of the method of the present invention for protecting the copy control information provided by the video source device to the video sink/recording device, in accordance with one embodiment. For the illustrated embodiment, it is assumed that the copy control information may be expressed as a bit vector of n -bits, where n is an integer. As illustrated, in accordance with the present invention, at power on or reset, **402**, prior to the initialization of cipher unit **112**, video source device **102** incorporates the n -bit copy control information as an integral part of one the initialization values to be employed to initialize the cipher unit

for protecting the video content. In one embodiment, video source device **102** incorporates the *n*-bit copy control information as an integral part of an initialization value to be employed to initialize a basis register of a round function of the block cipher section of cipher unit **112**. More specifically, in one embodiment, video

5 source device **102** incorporates the *n*-bit copy control information as the most significant bits (MSB) of the initialization value for the data section round function of the block cipher.

Upon receipt of the copy control information from video source device **102**, video sink/recording device **104** would do the same thing, that is incorporating the *n*-

10 bit copy control information into the corresponding initialization value for a corresponding register of its cipher unit in like manner.

Thereafter, **404**, video source and sink/recording device **102** and **104** would correspondingly initialize their cipher units, including the special initialization value (incorporated with the copy control information) among the initialization values to be

15 employed. Accordingly, the copy control information affects the pseudo random sequence subsequently generated by cipher unit **112**, and employed to cipher video content as earlier described. In like manner, unless tampered, the corresponding effects will manifest themselves in the pseudo random sequence subsequently generated by the cipher unit of video sink/recording device **104** to decipher the

20 ciphered video content.

In the event the copy control information are tampered with, the correct pseudo random sequence required to decipher the ciphered video content will not be produced, and as a result, the video content will not be available for copy.

Thus, it can be seen from the foregoing, the copy control information are

25 protected from tampering.

Figure 5 illustrates an example combined block/stream cipher suitable for use to practice the present invention, in accordance with one embodiment. As illustrated, combined block/stream cipher **112** includes block key section **502**, data section **504**, stream key section **506**, and mapping section **508**, coupled to one another as shown. Block key section **502** and data section **504** are employed in both the block mode as well as the stream mode of operation, whereas stream key section **506** and mapping section **508** are employed only in the stream mode of operation.

Briefly, in block mode, block key section **502** is provided with a block cipher key, such as the earlier described authentication key K_m or the session key K_s ; whereas data section **504** is provided with the plain text, such as the earlier described random number A_n or the derived random number M_{i-1} . "Rekeying enable" signal is set to a "disabled" state, operatively de-coupling block key section **502** from stream key section **506**. During each clock cycle, the block cipher key as well as the plain text are transformed. The block cipher key is independently transformed, whereas transformation of the plain text is dependent on the transformation being performed on the block cipher key. After a desired number of clock cycles, the provided plain text is transformed into ciphered text. For the earlier described video content protection method, when block key section **502** is provided with K_m and data section **504** is provided with the A_n , ciphered A_n is read out and used as the session key s . When block key section **502** is provided with K_s and data section **504** is provided with the M_{i-1} , ciphered M_{i-1} is read out and used as the frame key K_i .

To decipher the ciphered plain text, block key section **502** and data section **504** are used in like manner as described above to generate the intermediate "keys", which are stored away (in storage locations not shown). The stored

intermediate “keys” are then applied to the ciphered text in reversed order, resulting in the deciphering of the ciphered text back into the original plain text. Another approach to deciphering the ciphered text will be described after block key section **502** and data section **504** have been further described in accordance with one
5 embodiment each, referencing **Figs. 6-7**.

In stream mode, stream key section **506** is provided with a stream cipher key, such as the earlier described session key K_s or frame key K_f . Block key section **502** and data section **504** are provided with random numbers, such as the earlier described session/frame keys K_s/K_f and the derived random numbers M_{i-1} .

10 “Rekeying enable” signal is set to an “enabled” state, operatively coupling block key section **502** to stream key section **506**. Periodically, at predetermined intervals, such as the earlier described horizontal blanking intervals, stream key section **506** is used to generate one or more data bits to dynamically modify the then current state of the random number stored in block data section **502**. During each clock cycle, in
15 between the predetermined intervals, both random numbers stored in block key section **502** and data section **504** are transformed. The random number provided to block key section **502** is independently transformed, whereas transformation of the random number provided to data section **504** is dependent on the transformation being performed in block key section **502**. Mapping block **506** retrieves a subset
20 each, of the newly transformed states of the two random numbers, and reduces them to generate one bit of the pseudo random bit sequence. Thus, in a desired number of clock cycles, a pseudo random bit sequence of a desired length is generated.

For the illustrated embodiment, by virtue of the employment of the “rekeying
25 enable” signal, stream key section **506** may be left operating even during the block

mode, as its outputs are effectively discarded by the “rekeying enable” signal (set in a “disabled” state).

Figure 6 illustrates the block key section of **Fig. 5** in further detail, in

accordance with one embodiment. As illustrated, block key section **502** includes registers **602a-602c**, substitution boxes **604**, and linear transformation unit **606**. In block mode, registers **602a-602c** are collectively initialized to a block cipher key, e.g. authentication key K_m or session key K_s . In stream mode, registers **602a-602c** are collectively initialized to a random number, e.g. session key K_s or frame key K_f . Each round, substitution boxes **604** and linear transformation unit **606** modify the content of registers **602a-602c**. More specifically, substitution boxes **604** receive the content of register **602a**, modify it, and then store the substituted content into register **602c**. Similarly, linear transformation unit **606** receives the content of registers **602b** and **602c**, linearly transforms them, and then correspondingly stores the linearly transformed content into registers **602a** and **602b**.

Substitution boxes **604** and linear transformation unit **606** may be implemented in a variety of ways in accordance with well known cryptographic principles. One specific implementation is given in more detail below after the description of **Fig. 7**.

Figure 7 illustrates the block data section of **Fig. 5** in further detail, in

accordance with one embodiment. For the illustrated embodiment, data section **504** is similarly constituted as block key section **502**, except linear transformation unit **706** also takes into consideration the content of register **602b**, when transforming the contents of registers **702b-702c**. In block mode, registers **702a-702c** are collectively initialized with the target plain text, e.g. earlier described random number

A_n or derived random number M_{i-1} (incorporated with the n-bit copy control information). In stream mode, registers **702a-702c** are collectively initialized with a random number. Each round, substitution boxes **704** and linear transformation unit **706** modify the content of registers **702a-702c** as described earlier for block key section **502** except for the differences noted above.

Again, substitution boxes **604** and linear transformation unit **606** may be implemented in a variety of ways in accordance with well known cryptographic principles.

In one implementation for the above described embodiment, each register **602a, 602b, 602c, 702a, 702b, 702c** is 28-bit wide. [Whenever registers **602a-602c** or **702a-702c** collectively initialized with a key value or random number less than 84 bits, the less than 84-bit number is initialized to the lower order bit positions with the higher order bit positions zero filled.] Additionally, each set of substitution boxes **604** or **704** are constituted with seven 4 input by 4 output substitution boxes. Each linear transformation unit **606** or **706** produces 56 output values by combining outputs from eight diffusion networks (each producing seven outputs). More specifically, the operation of substitution boxes **604/704** and linear transformation unit **606/706** are specified by the four tables to follow. For substitution boxes **604/704**, the l th input to box J is bit $l*7+J$ of register **602a/702a**, and output l of box J goes to bit $l*7+j$ of register **602c/702c**. [Bit 0 is the least significant bit.] For each diffusion network (linear transformation unit **606** as well as **706**), the inputs are generally labeled I_0 - I_6 and the outputs are labeled O_0 - O_6 . The extra inputs for each diffusion network of the linear transformation unit **706** is labeled K_0 - K_6 .

Table I – Substitution performed by each of the seven constituting substitution boxes of substitution boxes **604/704**.

| Diffusion Network Logic Function | |
|----------------------------------|--|
| O₀ | $K_0 \oplus I_1 \oplus I_2 \oplus I_3 \oplus I_4 \oplus I_5 \oplus I_6$ |
| O₁ | $K_1 \oplus I_0 \oplus I_2 \oplus I_3 \oplus I_4 \oplus I_5 \oplus I_6$ |
| O₂ | $K_2 \oplus I_0 \oplus I_1 \oplus I_3 \oplus I_4 \oplus I_5 \oplus I_6$ |
| O₃ | $K_3 \oplus I_0 \oplus I_1 \oplus I_2 \oplus I_4 \oplus I_5 \oplus I_6$ |
| O₄ | $K_4 \oplus I_0 \oplus I_1 \oplus I_2 \oplus I_3 \oplus I_5 \oplus I_6$ |
| O₅ | $K_5 \oplus I_0 \oplus I_1 \oplus I_2 \oplus I_3 \oplus I_4 \oplus I_6$ |
| O₆ | $K_6 \oplus I_0 \oplus I_1 \oplus I_2 \oplus I_3 \oplus I_4 \oplus I_5 \oplus I_6$ |

| | K1 | K2 | K3 | K4 | K5 | K6 | K7 | K8 |
|----------------------|-----|------|------|------|------|------|------|------|
| I₀ | Kz0 | Ky0 | Ky4 | Ky8 | Ky12 | Ky16 | Ky20 | Ky24 |
| I₁ | Kz1 | Ky1 | Ky5 | Ky9 | Ky13 | Ky17 | Ky21 | Ky25 |
| I₂ | Kz2 | Ky2 | Ky6 | Ky10 | Ky14 | Ky18 | Ky22 | Ky26 |
| I₃ | Kz3 | Ky3 | Ky7 | Ky11 | Ky15 | Ky19 | Ky23 | Ky27 |
| I₄ | Kz4 | Kz7 | Kz10 | Kz13 | Kz16 | Kz19 | Kz22 | Kz25 |
| I₅ | Kz5 | Kz8 | Kz11 | Kz14 | Kz17 | Kz20 | Kz23 | Kz26 |
| I₆ | Kz6 | Kz9 | Kz12 | Kz15 | Kz18 | Kz21 | Kz24 | Kz27 |
| O₀ | Kx0 | Ky0 | Ky1 | Ky2 | Ky3 | Kx7 | Kx8 | Kx9 |
| O₁ | Kx1 | Ky4 | Ky5 | Ky6 | Ky7 | Kx10 | Kx11 | Kx12 |
| O₂ | Kx2 | Ky8 | Ky9 | Ky10 | Ky11 | Kx13 | Kx14 | Kx15 |
| O₃ | Kx3 | Ky12 | Ky13 | Ky14 | Ky15 | Kx16 | Kx17 | Kx18 |
| O₄ | Kx4 | Ky16 | Ky17 | Ky18 | Ky19 | Kx19 | Kx20 | Kx21 |
| O₅ | Kx5 | Ky20 | Ky21 | Ky22 | Ky23 | Kx22 | Kx23 | Kx24 |
| O₆ | Kx6 | Ky24 | Ky25 | Ky26 | Ky27 | Kx25 | Kx26 | Kx27 |

Tables II & III – Diffusion networks for linear transformation unit **606/706**

(continued in Table IV).

the number of rounds (R) applied to cipher the plain text. After the initial R-1 rounds, the ciphered text is loaded into data section **504**, and both sections, block key section **502** and data section **504**, are operated "backward", i.e. with substitution boxes **604/704** and linear transformation units **606/706** applying the inverse substitutions and linear transformations respectively.

Other sections, such as stream key section **506** and mapping section **508**, of the example combined block/stream cipher illustrated in **Fig. 5**, are of no particular significance to the practice of the present invention. Accordingly, they will not be "re-described" in detail here. These sections are described in detail in the parent applications.

Accordingly, a novel method and apparatus for protecting copy control information provided to a video recording device has been described.

Epilogue

From the foregoing description, those skilled in the art will recognize that many other variations of the present invention are possible. Thus, the present invention is not limited by the details described, instead, the present invention can be practiced with modifications and alterations within the spirit and scope of the appended claims.

CLAIMS

What is claimed is:

- 1 1. *A method comprising:*
 - 2 *a video source device providing n bits of copy control information to a video*
 - 3 *recording device;*
 - 4 *each of the video source and recording devices incorporating said n bits of*
 - 5 *copy control information as part of an initialization value; and*
 - 6 *each of the video source and recording devices initializing a cipher unit with*
 - 7 *said initialization value to practice a symmetric ciphering/deciphering process*
 - 8 *employed by the video source and recording devices to protect video transmitted*
 - 9 *from the video source device to the video recording device.*
 - 1 2. *The method of claim 1, wherein each of said incorporation of said n bits of*
 - 2 *copy control information as part of the initialization value by said video source and*
 - 3 *recording devices comprises incorporation of said n bits of copy control information*
 - 4 *as most significant bits of the initialization value.*
 - 1 3. *The method of claim 1, wherein each of said initialization of a cipher unit by*
 - 2 *said video source and recording devices comprises initializing a register of the*
 - 3 *cipher unit with the copy control information incorporated initialization value.*
 - 1 4. *The method of claim 3, wherein each of said initialization of a register of the*
 - 2 *cipher unit by said video source and recording devices comprises initializing a*
 - 3 *register of a round function of a block cipher.*

1 5. A video apparatus comprising:
2 a cipher unit to generate a sequence of ciphering bits to cipher video to be
3 transmitted by the video apparatus to a video recording device, the cipher unit
4 including a register to be initialized with an initialization value incorporating n bits of
5 copy control information; and
6 a communication interface coupled to the video recording device to provide
7 said n-bit copy control information to said video recording device.

1 6. The video apparatus of claim 5, wherein said initialization value incorporates
2 said n bits of copy control information as its most significant bits.

1 7. The video apparatus of claim 5, wherein said cipher unit comprises a block
2 cipher, and said register is a register of a round function of said block cipher.

1 8. A video apparatus comprising:
2 a cipher unit to generate a sequence of deciphering bits to decipher ciphered
3 video to be received from a video source device, the cipher unit including a register
4 to be initialized with an initialization value incorporating n bits of copy control
5 information; and
6 a communication interface coupled to the video source device to receive said
7 n-bit copy control information from said video source device.

1 9. The video apparatus of claim 8, wherein said initialization value incorporates
2 said n bits of copy control information as its most significant bits.

1 10. The video apparatus of claim 8, wherein said cipher unit comprises a block
2 cipher, and said register is a register of a round function of said block cipher.

1 11. In a video source device, a method comprising:
2 providing a video recording device with n-bits of copy control information;
3 incorporating said n-bits of copy control information as a part of an
4 initialization value;
5 initializing a block cipher with said initialization value;
6 operating said block cipher to generate a key for use by a stream cipher to
7 cipher video to be transmitted to the video recording device.

1 12. The method of claim 11, wherein said incorporation of said n bits of copy
2 control information as part of an initialization value comprises incorporation of said n
3 bits of copy control information as most significant bits of the initialization value.

1 13. The method of claim 11, wherein said initialization of the block cipher unit
2 comprises initializing a register of a round function of the block cipher.

1 14. In a video recording device, a method comprising:
2 receiving from a video source device n-bits of copy control information;
3 incorporating said n-bits of copy control information as a part of an
4 initialization value;
5 initializing a block cipher with said initialization value;
6 operating said block cipher to generate a key for use by a stream cipher to
7 decipher ciphered video received from the video source device.

1 16. *The method of claim 14, wherein said initialization of the block cipher unit*
2 *comprises initializing a register of a round function of the block cipher.*

[illegible]

ABSTRACT OF THE DISCLOSURE

A video source device includes a cipher unit. The cipher unit includes a block cipher and a stream cipher. The video source device uses the block cipher to generate at least one cipher key for use by the stream cipher to generate cipher bits for ciphering video to be transmitted to a video recording device. The video source device further provides n bits of copy control information to the video recording device. The video source device incorporates the n-bit copy control information as part of an initialization value, and initializes a register of a round function of the block cipher with the initialization value. The video recording device also includes a cipher unit of like kind, and operates the block and stream ciphers in like manner to decipher the ciphered video received from the video source device. Upon receiving the n-bit copy control information, the video recording device also forms an initialization value and initializes a corresponding register of the corresponding round function of its block cipher. Accordingly, protection is provided to the copy control information.

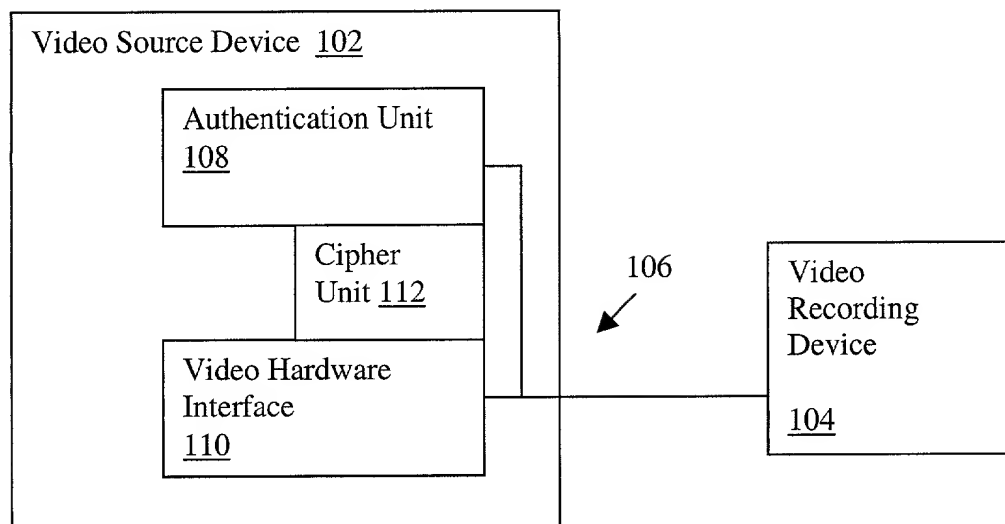


Figure 1

| Parameter | Unit | Value | Standard Error | 95% CI | P-value |
|-----------------------|------|-------|----------------|-------------|---------|
| Intercept | | 1.00 | 0.00 | 1.00 | 0.00 |
| Age | Year | 0.02 | 0.01 | -0.01, 0.05 | 0.15 |
| Gender | | | | | |
| Male | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Female | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Education | Year | 0.01 | 0.01 | -0.01, 0.03 | 0.45 |
| Income | Year | 0.01 | 0.01 | -0.01, 0.03 | 0.45 |
| Health status | | | | | |
| Good | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Poor | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Smoking status | | | | | |
| Smoker | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Nonsmoker | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Alcohol consumption | | | | | |
| Drinker | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Nondrinker | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Physical activity | | | | | |
| Active | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Inactive | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Family size | | | | | |
| Large | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Small | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Marital status | | | | | |
| Married | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Single | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Religious affiliation | | | | | |
| Christian | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |
| Muslim | | -0.03 | 0.02 | -0.07, 0.01 | 0.25 |
| Other | | 0.05 | 0.02 | -0.01, 0.11 | 0.08 |

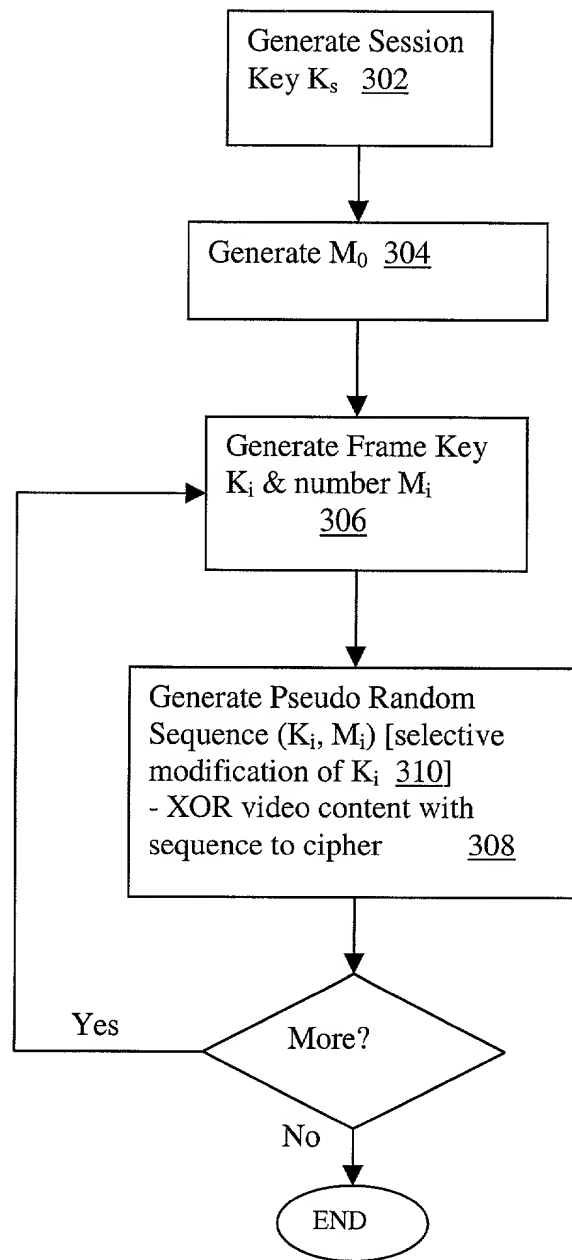


Figure 3a

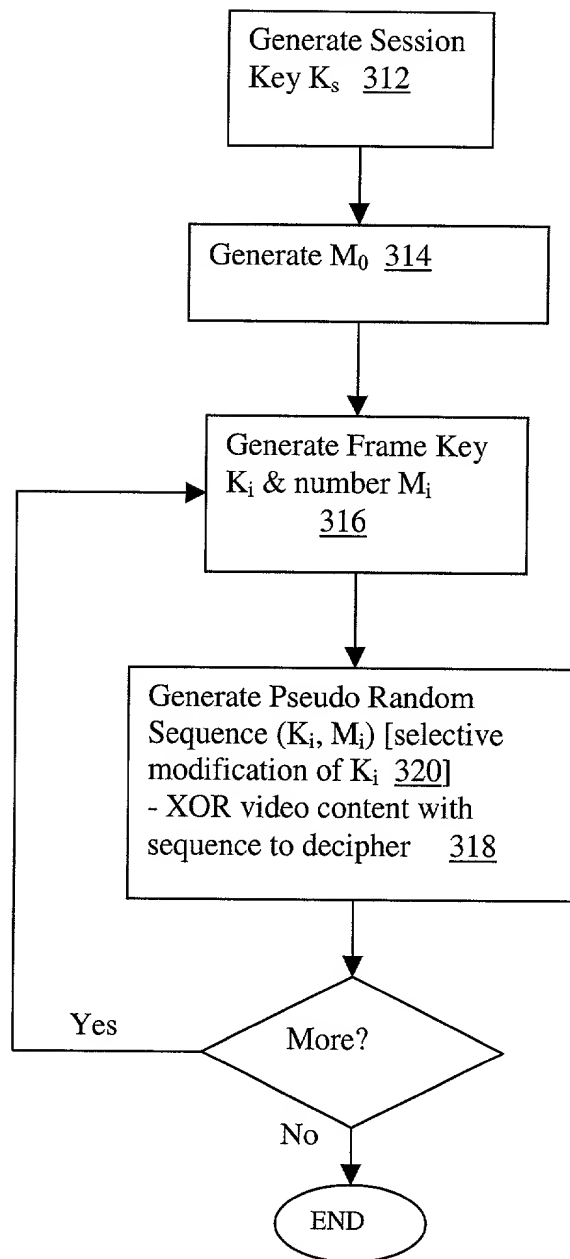
[illegible]

Figure 3b

Formulate a Cipher Initialization
Key with Copy Control
Information [MSB]
402



Initialize a register of Block Cipher
with Copy Control Information
incorporated initialization value
404

Figure 4

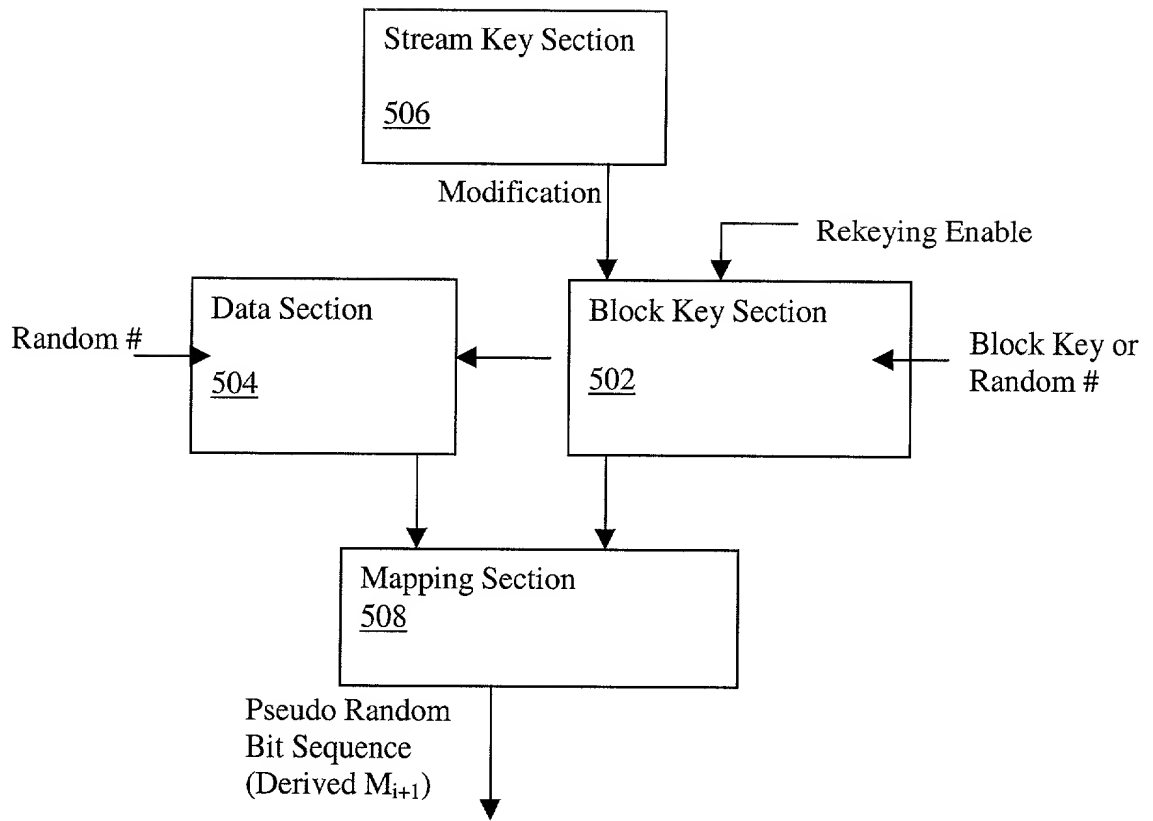
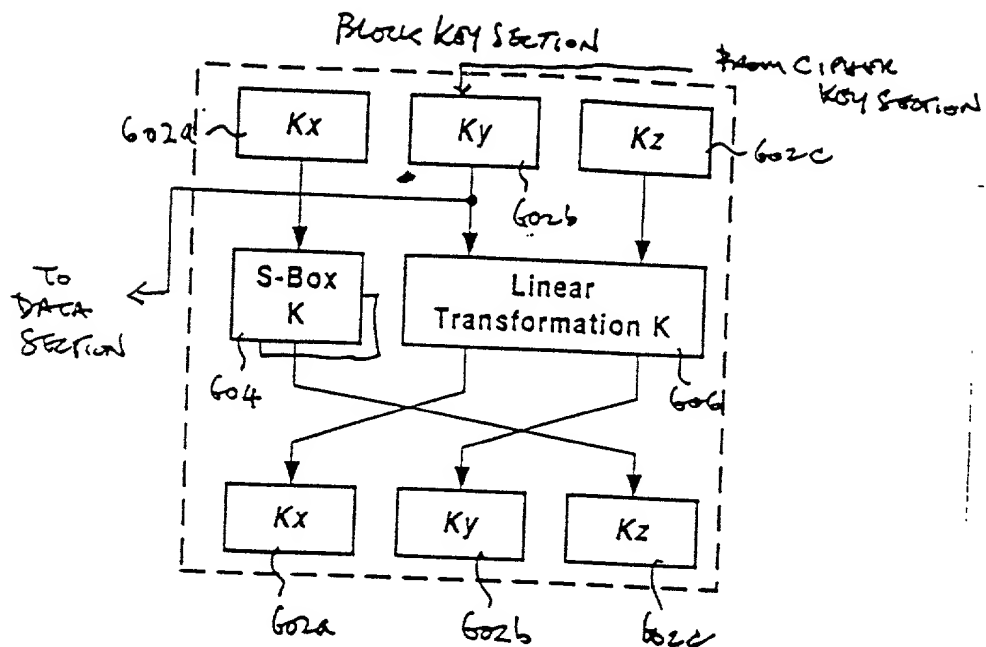
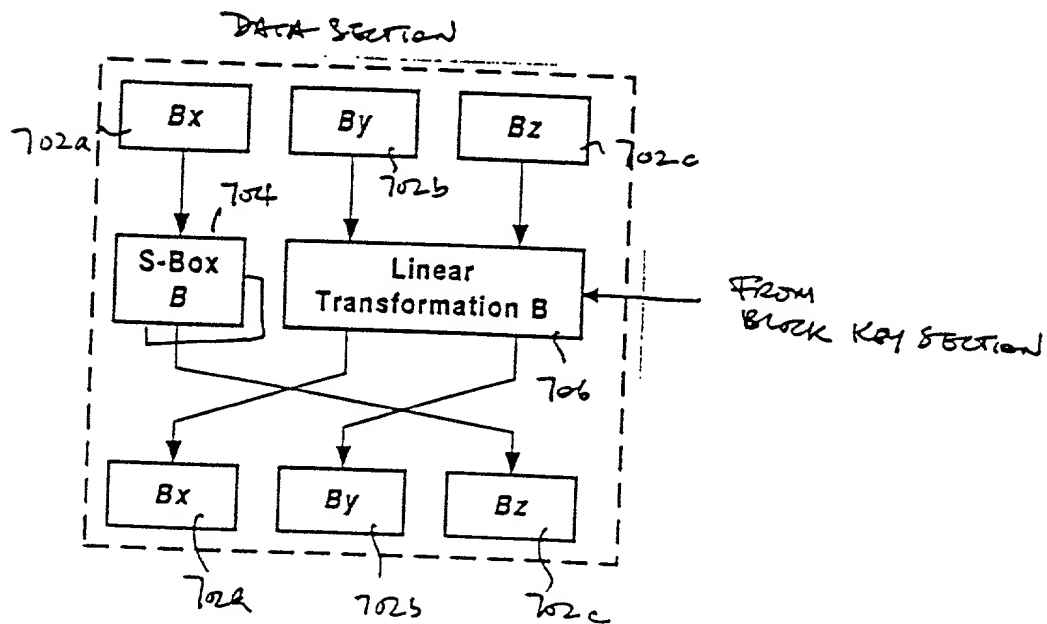


Figure 5

[illegible]

Feb. 6



Fu-7

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (FOR INTEL CORPORATION PATENT APPLICATIONS)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR PROTECTING COPY CONTROL INFORMATION PROVIDED TO A VIDEO RECORDING DEVICE

the specification of which

☒ is attached hereto.
☐ was filed on _____ as _____
 United States Application Number _____
 or PCT International Application Number _____
 and was amended on _____
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

| APPLICATION NUMBER | COUNTRY (OR INDICATE IF PCT) | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 37 USC 119 |
|-----------------------|---------------------------------|--------------------------------------|--|
| | | | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| | | | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| | | | <input type="checkbox"/> No <input type="checkbox"/> Yes |

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

| APPLICATION NUMBER | FILING DATE |
|-----------------------|-------------|
| | |
| | |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| APPLICATION NUMBER | FILING DATE | STATUS (ISSUED, PENDING, ABANDONED) |
|-----------------------|----------------|--|
| 09/385,590 | 8/29/99 | Pending |
| 09/385,592 | 8/29/99 | Pending |
| | | |

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to:

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(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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(Country)

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Inventor's Signature _____

Date _____

Residence _____
(City, State)

Citizenship _____
(Country)

P. O. Address _____

Full Name of Fifth/Joint Inventor (given name, family name)

Inventor's Signature _____

Date _____

Residence _____
(City, State)

Citizenship _____
(Country)

P. O. Address _____

APPENDIX A

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